





Waste in humanitarian Operations:

Reduction and Minimisation

Development of a Sustainability Procurement Framework: Towards Humanitarian Procurement for Long-Term Impact

Workshop hosted by Waste in Humanitarian Operations consortium (Horizon Europe funded) and co-hosted by DG ECHO

Karolina Kalinowska (DG ECHO), Claire Barnhoorn & Yumiko Abe (Solvoz) & Sarah Joseph (KLU)





Welcome

Towards a common understanding of the sustainable procurement framework



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Opening by Karolina Kalinowski (DG ECHO)

DG ECHO and Sustainable Procurement





European Union Civil Protection and Humanitarian Aid





Introduction WORM

www.wormproject.eu





Funded by the European Union



Agenda

1. Introducing WORM consortium and project

2. Purpose and objectives of the workshop today

3. Status quo of the practice of sustainable procurement

4. Working towards the proposed sustainability criteria framework

5. Workshop 1: Priority framework dimensions selection

6. Workshop 2: What is done, where are opportunities, in stakeholder group breakouts

7. Discussion and closing

(2 min - Claire Barnhoorn)

(1 min)

(5 min - Sarah Joseph)

(15 min – Yumiko Abe)

(25 min)

(25 min)

(20 min)





The importance of procurement

Procurement as key leaver for change





Objectives Workshop today

- To increase awareness and inform on the importance of sustainable procurement practices in the humanitarian sector.
- To understand the sustainability objectives of different stakeholder groups, in addition to main challenges and areas for opportunity.
- To evaluate and identify key categories of sustainability criteria that can be adopted across the humanitarian sector.



Status quo of sustainable procurement in the humanitarian sector

Sarah Joseph









Status quo of sustainable procurement

How is sustainability integrated into procurement in the humanitarian sector?

- Sustainable procurement includes **environmental**, **social**, and **economic** considerations
- There is often uncertainty about the significance of sustainability criteria in comparison to traditional criteria such as quality and price
- Mandatory social (e.g., no child labour) and economic (e.g., no bribery) criteria are
 more systematically embedded into procurement procedures and policies, while
 environmental criteria (e.g., use recycled materials) remains limited and faces several
 constraints
- Embedding sustainability systematically into procurement practices plays a crucial role in reducing the sectors impact on social, economic, and especially environmental dimensions, particularly in during emergency response when swift action is essential, and sustainability may appear to "clash" with humanitarian priorities
- Transition requires unified efforts from donors, organisations, and suppliers



Resource use and environmental impacts

Waste management

Quality and durability

Localisation

Social responsibility

Economic viability and development

Transparency and accountability







How is environmental sustainability included in procurement decisions?

Integration of environmental considerations varies greatly across organisations and is dependent on several factors.

Not considered

Optional with nonsystematic mechanism to evaluate Optional with systematic mechanism to evaluate

Mandatory with a systematic mechanism to evaluate

No mention of environmental considerations in procurement documents or policies.

Included using elective wording (e.g., seek to, promote, when possible) without clear criteria to evaluate or operationalize.

Included using elective wording (e.g., seek to, promote, when possible) with clear criteria to evaluate and operationalize (e.g., X% recycled materials).

Included using compulsory wording (prohibit, must) with clear criteria to evaluate and operationalize across all procurement processes.







How is environmental sustainability included in procurement decisions?

Integration of environmental considerations varies greatly across organisations and is dependent on several factors.

Not considered

Optional with nonsystematic mechanism to evaluate Optional with systematic mechanism to evaluate

Mandatory with a systematic mechanism to evaluate

Most organizations include some element(s) of environmental sustainability in procurement practices, but the level of implementation may also vary dependent on the product/category. It is typically not applied universally throughout the organisation and may be less likely to be included in certain contexts like local procurement or emergency (fast-track) situations.







Collaborative efforts in practice

What are some examples of existing collaborative efforts to tackle sustainable procurement in the humanitarian sector?























Scaling up sustainable procurement

Synergize learnings and establish standardized, flexible guidelines for sustainable humanitarian procurement that can be scaled up across the sector









Moving forward

How is sustainability integrated into procurement in the humanitarian sector?

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Resource use and environmental impacts

Waste management

Quality and durability

Localisation

Social responsibility

Economic viability and development

Transparency and accountability



Sustainability and Humanitarian Procurement

Yumiko Abe







SDGs







































Global Sustainable Development Report 2023 https://sdgs.un.org/gsdr







Global status quo of SDGs as of 2023

























15 LIFE ON LAND

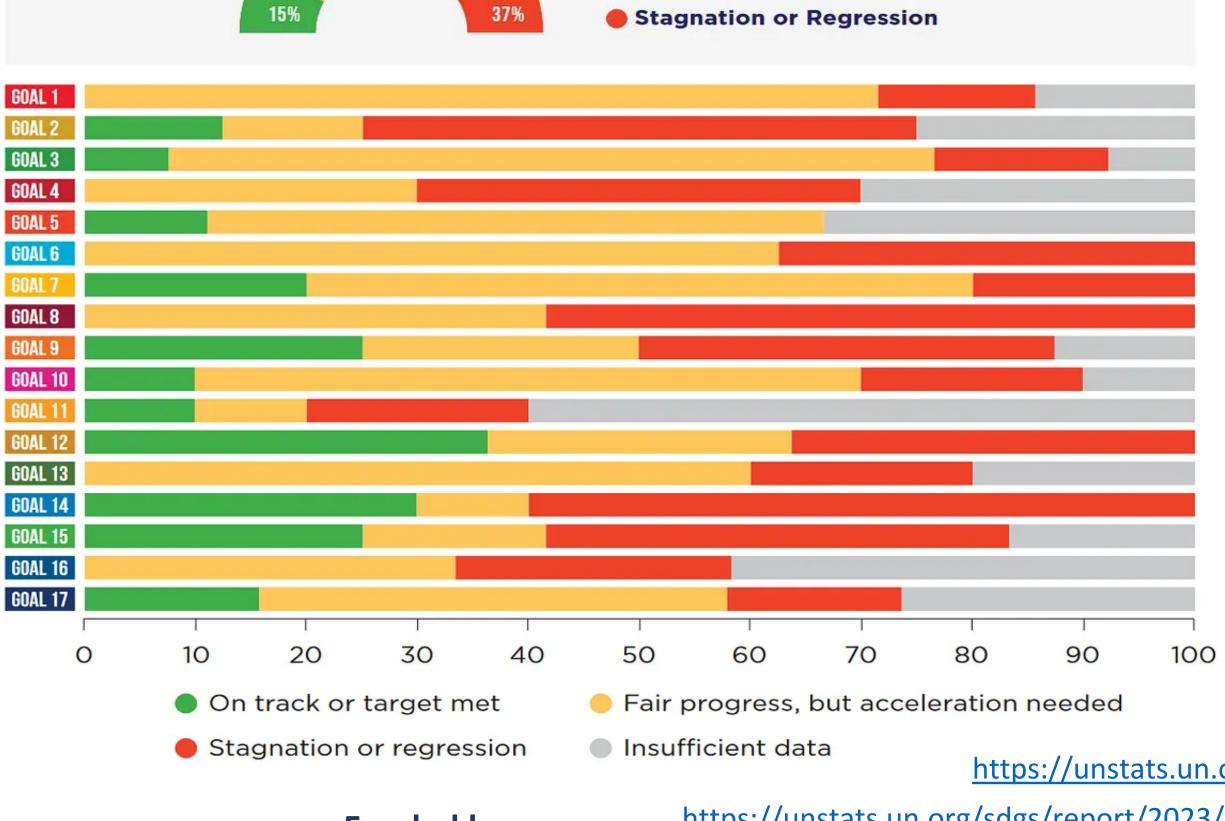












On Track

Moderately or Severely Off Track



https://unstats.un.org/sdgs/dataportal/

https://unstats.un.org/sdgs/report/2023/progress-midpoint/





Statu quo of SDGs performance by countries







SDG 13 indicator: GHG emissions embodied in imports





Funded by the European Union



SDG 12 indicator: Exports of plastic waste









Sustainable Public Procurement

Public procurement accounts for:

12% of GDP in OECD countries (14% for the EU, 10-12% for the USA)

Up to 30% of GDP in many developing countries

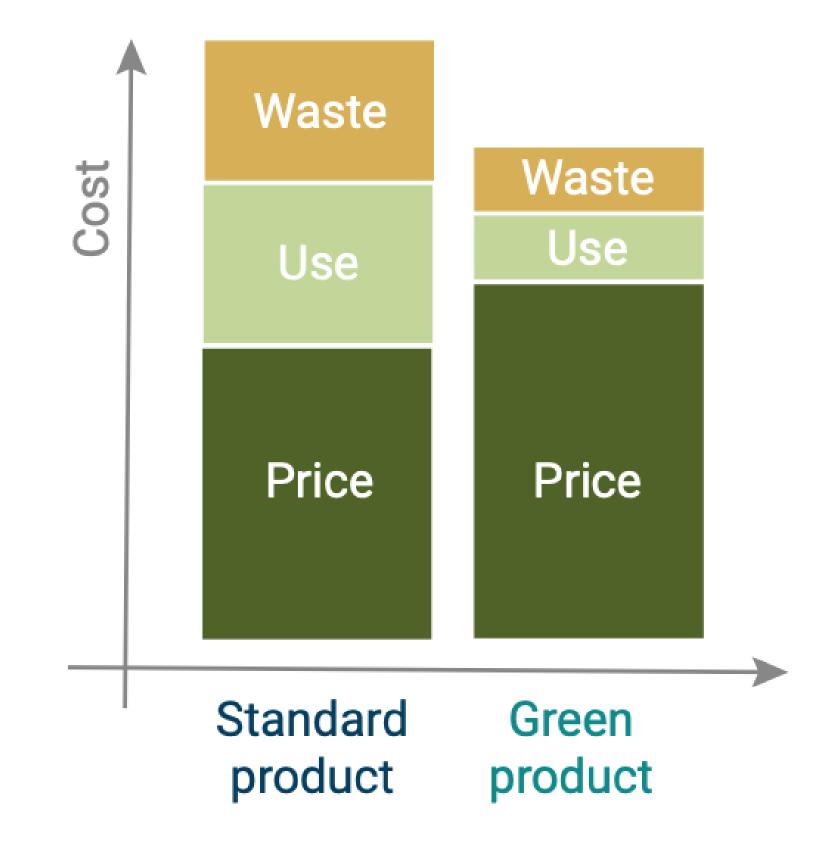


Second Edition of UNEP's Sustainable Public Procurement Guidelines

UNEP 2021

https://www.unep.org/resources/publication/second-edition-uneps-sustainable-public-procurement-guidelines









SPP practices: Country Profiles

Sustainable Public Procurement Global Review 2022

https://www.oneplanetnetwork.org/knowledge-centre/resources/factsheets-sustainable-public-procurement-national-governments-1

Country assessed

OECD member countries (24 countries):

Austria, Belgium, Canada, Colombia, Costa Rica, Croatia, Cyprus, Denmark, Finland, France, Ireland, Israel, Italy, Korea, Lithuania, Mexico, Netherlands, Norway, Poland, Portugal, Singapore, Slovenia, Switzerland, USA

Non-OECD countries (21 countries):

Belarus, Belize, Cambodia, China, Dominican Republic, Ecuador, El Salvador, Indonesia, Moldova, Mongolia, Panama, Paraguay, Peru, Philippines, Senegal, Sri Lanka, Thailand, Trinidad and Tobago, Tunisia, Uganda, Uruguay







Sustainable Public Procurement Factsheet (2022)





Level of SPP implementation

Participation in the SDG Indicator 12.7.1 monitoring exercise¹

2020	0	Yes	0	No
2022	Ø	Yes	0	No

Procurement in the national government

Level of centralization of the procurement function in the national/federal government	Moderately centralized
Estimated total procurement expenditure of the national/federal government ⁴ (2021)	7.73 billion USD ⁵
General government final consumption expenditure as a percentage of GDP ³ (2021)	11.2%
Gross Domestic Product (GDP) ² (2021)	812.87 billion USD

- The monitoring exercise on Sustainable Development Goal (SDG) indicator 12.7.1 measures the number of countries implementing SPP policies and action plans. It is carried-out by UNEP's SPP team on a biennial basis. For further information, please see: www.unep.org/explore-topics/resource-efficiency/what-
- we-do/sustainable-public-procurement/sdg-127-target-and

 World Bank national accounts data, and OECD National Accounts data files.
 See: https://data.worldbank.org/indicator/NY.GDP.MKTP.CD@end=2021&locations=CH&start=1960&view=chart

 World Bank national accounts data, and OECD National Accounts data files.

- See: https://data.worldbank.org/indicator/NE_CON.GOVT.ZS?locations=LK

 4. Data provided by the Swiss Government: https://www.bkb.admin.ch/dam/bkb/de/dokumente/Oeffentliches_Beschaffungswesen/beschaffungscontrolling/Reporting_Set_BC_2021_DE.pdf.download.pdf/Reporting_Set_BC_2021_DE.pdf

 5. 7.04 billion CHF, calculated based on the change rate in 2021 provided by the World Bank, https://data.worldbank.org/indicator/PA.NUS.



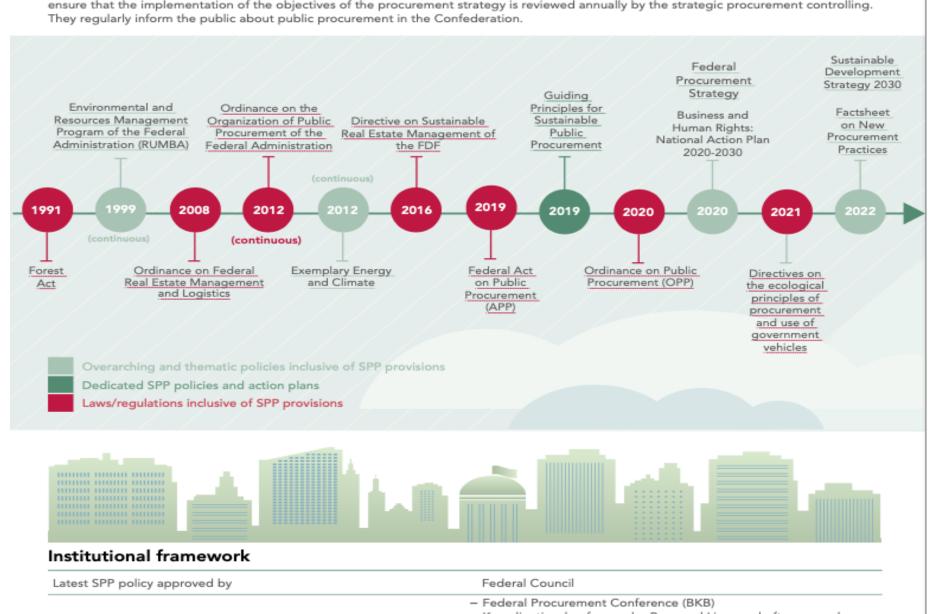


Policy and institutional framework supporting SPP

Policy framework

Overall, the general public procurement framework is defined in the Federal Act on Public Procurement (2019) and the overarching policies. With the new legislation, a procurement strategy (2020) has been adopted in which sustainability is defined as part of the mission and as a strategic axis. The Guiding Principles for SPP (2019) are concretising this key strategic axis.

As strategic bodies of the public procurement system, the Federal Procurement Conference (BKB) and the Coordination Conference for Public Sector Construction and Property Services (KBOB) support the procurement and demand units of the Confederation in the implementation of the directions and strategic procurement goals set by the Federal Council by concretising the requirements of the Federal Council with recommendations and other instruments. The procurement and requirement units derive their own operational goals from the Federal Procurement Strategy and on the basis of the recommendations and instruments provided by the BKB and the KBOB. They are responsible for implementing and achieving the Federal Council's objectives in the area of public procurement. The BKB and the KBOB ensure that the implementation of the objectives of the procurement strategy is reviewed annually by the strategic procurement controlling.



Ministries or agencies involved in its design	- Koordinationskonferenz der Bau- und Liegenschaftsorgane der öffentlichen Bauherren KBOB (Coordination Conference for Public Sector Construction and Property Services) - Federal Office for the Environment (FOEN) - State Secretary for Economic Affairs (SECO)
Ministries or agencies leading SPP implementation	 Public Procurement Conference Federal Office for the Environment State Secretary for Economic Affairs

Factsheets on Sustainable Public Procurement in National Governments (2022) — Switzerland

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SDG alignment⁶



























Environmental and socio-economic objectives

	Environmental objectives		Socio-economic objectives
	Air pollution	1	Promoting compliance with ILO standards and decent work
	Biodiversity preservation		Promoting fair trade
	Clean technology and eco-innovation	1	Promoting gender equality
/	Climate change mitigation	1	Promoting inclusive and equitable quality education, and lifelong learning opportunities for all
/	Energy conservation		Promoting opportunities for social economy enterprises
	Hazardous substances	1	Promoting SMEs
	Health quality	1	Promoting transparency and accountability and combating corruption
	Local environmental conditions		Protecting against human rights abuses
	Ozone depletion		Protecting and promoting groups at risk
	Protection of natural resources		
/	Resource efficiency		
	Soil protection		
	Waste minimization		
	Water conservation		
	Water pollution		

Prioritized product and service categories for SPP



Product/service categories prioritized for SPP implementation

Meeting & conference services
✓ Office electronics
Office supplies
√ Paper and paper products
√ Road design, construction & maintenance
Shipping, packaging & packaging supplies
√ Textiles
√ Transportation services & vehicles
Urban waste collection
Wastewater infrastructure
Water using products/plumbing systems

Factors taken into consideration in prioritization

 Existence of ecolabels and sustainability standards for those categories 	Market readiness and response capacity in the country								
 Existence of other policy commitments and instruments which may support the "green" procurement of those categories 	 National/federal government expenditure analysis (economic relevance) 								
 Existence of previous experiences by public authorities 	Price difference between the alternative sustainable product and conventional product (price premium)								
 Impact magnitude: prioritizing those categories with higher sustainability impact 	Prioritization by neighboring countries or supra-national organizations								
Existence of SPP criteria or product procurement guideline ⁷ s	✓ Yes ○ No								

Guidelines and criteria for SPP

SPP criteria have been developed for a number of product/service categories, including food and catering services, paper products, among others. These are based on the EU GPP Criteria and on criteria used by Ecolabels. The Relevance Matrix was established based on Life Cycle Assessment (LCA) and expert knowledge. Criteria are mandatory for timber, cars and electronic devices such as laptops, screens and printers.

SPP implementation activities

	Activity/measure	Description
/	Integration of SPP in management and procurement-related procedures and processes	
/	Integration in management and procurement-related software and tools	
/	Provision of SPP criteria and guidelines	
/	Provision of SPP training	
	Reputational incentives	
	Economic incentives	
/	Networking and socializing events	
/	Provision of SPP-related information	

7. www.woeb.swiss/toolbox

Factsheets on Sustainable Public Procurement in National Governments (2022) — Switzerland

SPP monitoring and evaluation

Monitoring system in place	Yes No
Frequency	Annually
Public authorities subject to national/federal SPP monitoring	Federal Office for Defence Procurement, the Federal Office for Buildings and Logistics, and the Federal Roads Office

Aspects monitored	Indicators
	Existence of sustainable procurement action plans at organization or department level
	Integration of sustainable procurement in procedures and tools
la colo al a alla col	Leadership, responsibilities, and coordination roles/ mechanisms established or assigned for sustainable procurement
Institutionalization	Availability of tracking systems for measuring sustainable procurement
	Number of staff trained in sustainable procurement
	Number of engagement activities with suppliers on sustainable procurement topics
	Number of staff dedicated to sustainable procurement
	✓ Number of calls for tenders including sustainability criteria
	✓ Number or value of contracts including sustainability criteria
Outputs	Quantities of sustainable goods, services or works purchased
•	√ Financial value of sustainable goods, services or works purchased
	Expenditure on preferred companies (SMEs, women-owned businesses, local companies, etc.)
	Environmental benefits/ impacts of the procurement (e.g. CO, saved)
C	Economic benefits/impacts of the procurement process (e.g. greener supply chains)
Sustainability outcomes	Social benefits/impacts of the procurement process (e.g. direct generation of employment opportunities, including the promotion of labor standards for workers in the global supply chain)
	Contribution to the achievement of the SDGs

This Factsheet was produced thanks to the information provided by Ruth Freiermuth Knuchel from the Federal Office for the Environment; Nicole Zurbriggen Bucher and Paul Mueller from the Federal Office for Buildings and Logistics; and Céline Brugger from the State Secretariat for Economic Affairs).



National government SPP contact

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https://www.bkb.admin.ch/bkb/de/home/themen/nachhaltige-beschaffung.html

https://www.bafu.admin.ch/bafu/de/home/themen/wirtschaft-konsum/fachinformationen/oekologischeoeffentliche-beschaffung.html















Environmental, social and economic objectives in national guidelines: Normalised average weight

OECD member countries (24 countries):

Austria, Belgium, Canada, Colombia, Costa Rica, Croatia, Cyprus, Denmark, Finland, France, Ireland, Israel, Italy, Korea, Lithuania, Mexico, Netherlands, Norway, Poland, Portugal, Singapore, Slovenia, Switzerland, USA

Non-OECD countries (21 countries):

Belarus, Belize, Cambodia, China, Dominican Republic, Ecuador, El Salvador, Indonesia, Moldova, Mongolia, Panama, Paraguay, Peru, Philippines, Senegal, Sri Lanka, Thailand, Trinidad and Tobago, Tunisia, Uganda, Uruguay

	OECD countries	Non-OECD countries
Environmental weight	35 %	19 %
Social weight	30 %	37 %
Economic weight	35 %	45 %





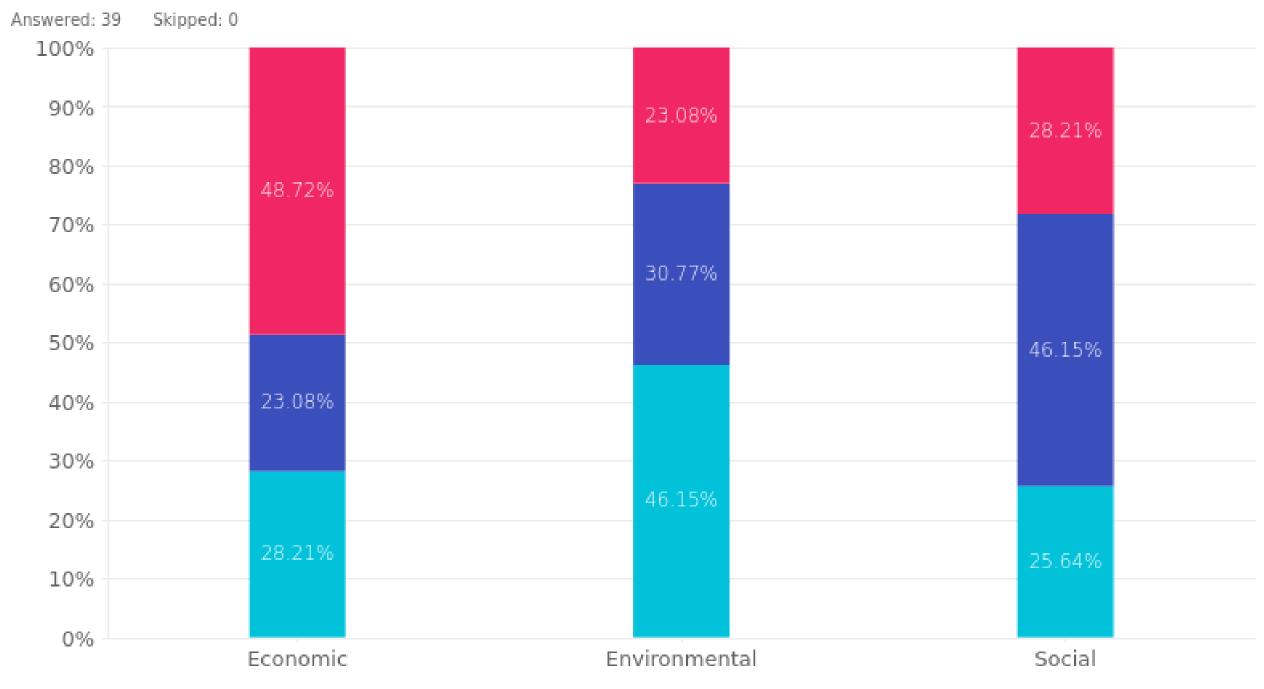


WORM preliminary survey results (preliminary!)

https://survey.zohopublic.eu/zs/ysBupE

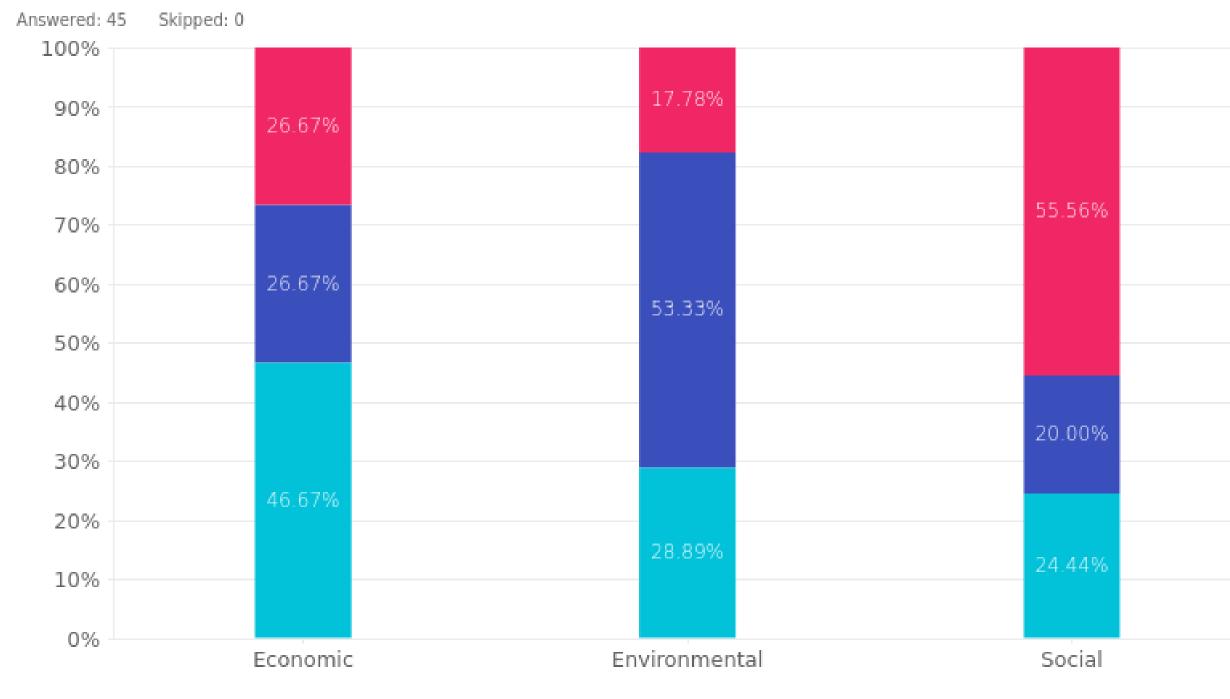
Humanitarian practitioner from OECD countries:

Rank the following sustainability aspects according to the relative importance: most important (1) to least important (3).



Humanitarian practitioner from non OECD countries:

Rank the following sustainability aspects according to the relative importance: most important (1) to least important (3).





2

3

Social dimension:



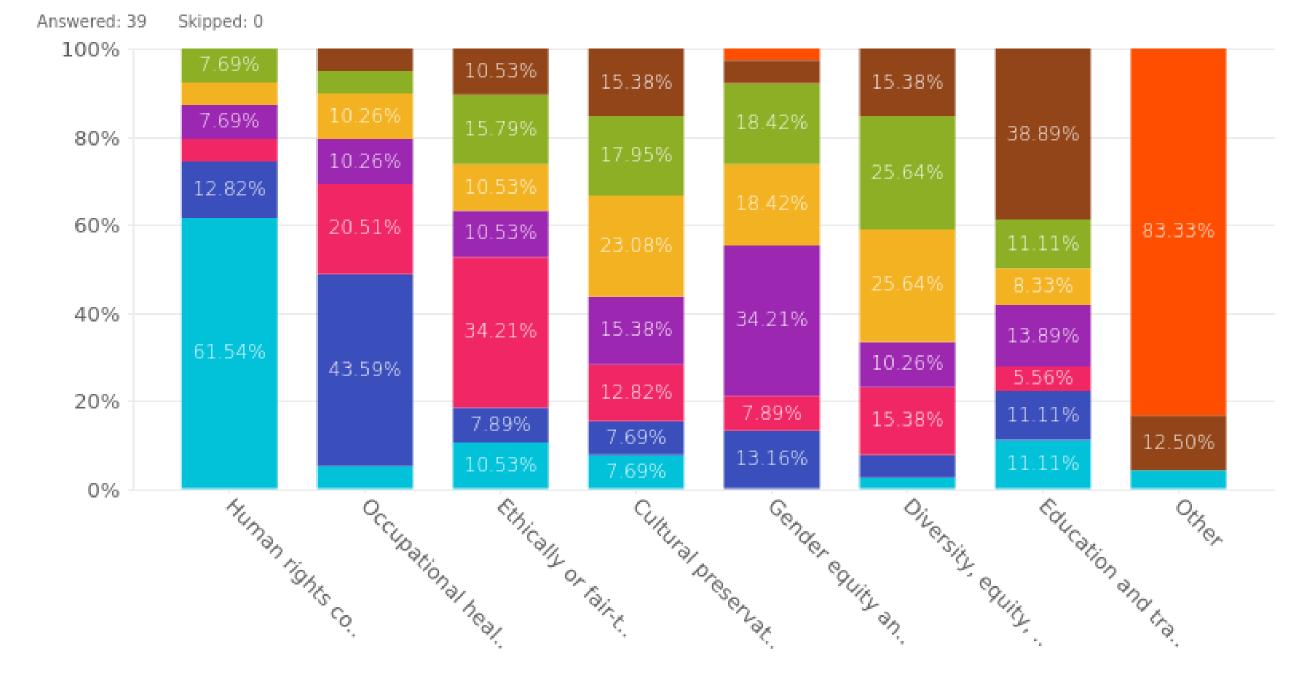
Humanitarian practitioner from OECD countries:

- 1. Human right compliancy
- 2. Occupational health & safety management
- 3. Ethically or fairly graded goods

Cultural appropriateness
Gender equity
Diversity and inclusion
Education and training

• 1

Rank the following Social sustainability considerations according to their relative importance: most important (1) to least important (8).



Humanitarian practitioner from non OECD countries:

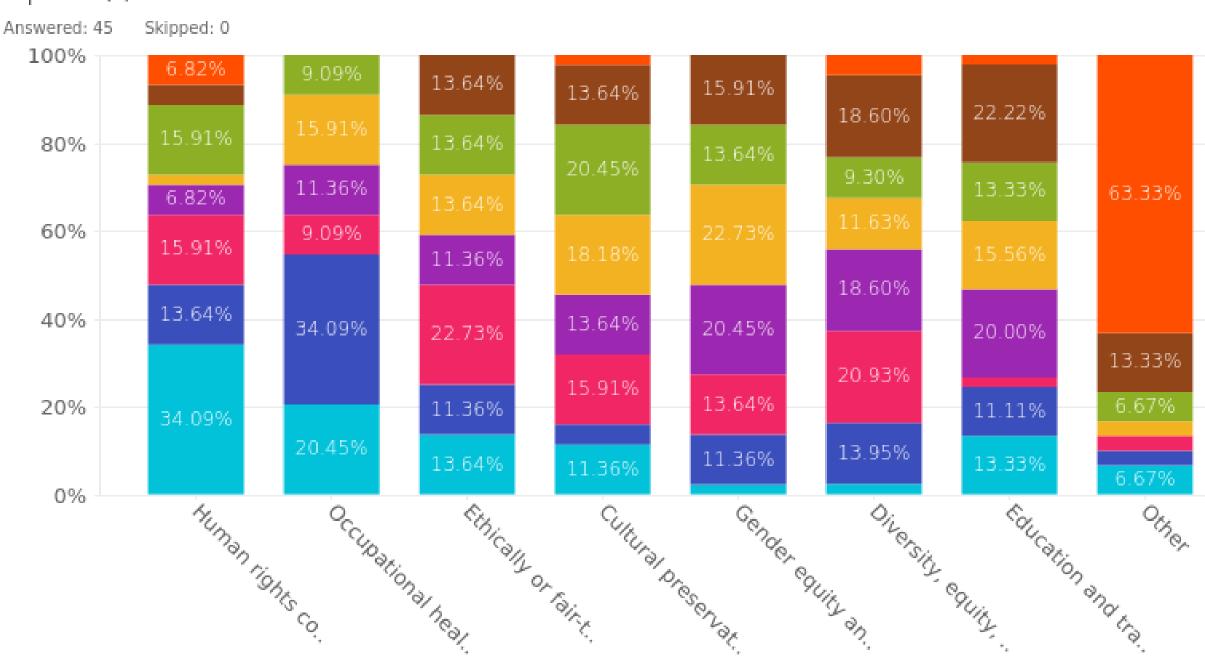
- 1. Occupational health & safety management
- 2. Human right compliancy
- 3. Ethically or fairly graded goods

Diversity and inclusion
Cultural appropriateness
Education and training
Gender equity

5

4

Rank the following Social sustainability considerations according to their relative importance: most important (1) to least important (8).



7

Economic dimension:

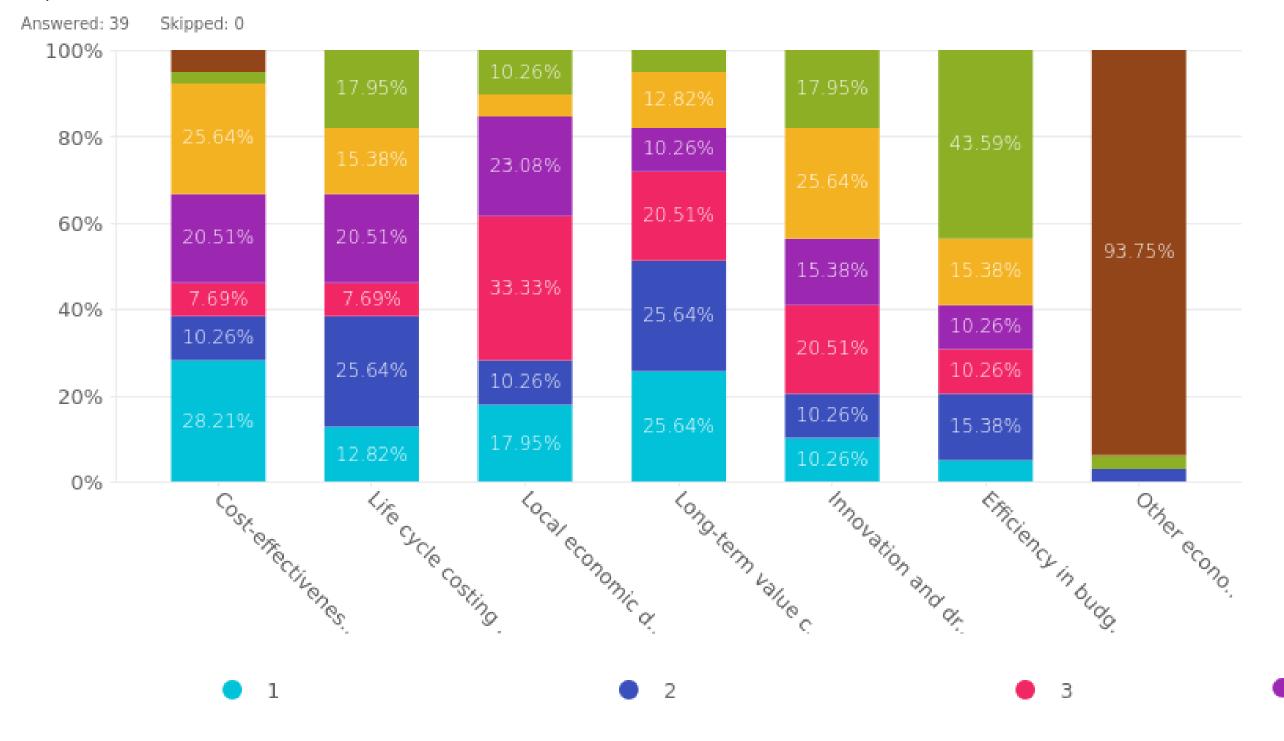


Humanitarian practitioner from OECD countries:

- 1. Long-term value creation and quality
- 2. Local economic development
- 3. Cost effectiveness and VfM

Lifecycle costing
Innovation and market development
Efficiency in budget spending

Rank the following Economic sustainability considerations according to their relative importance: most important (1) to least important (7).

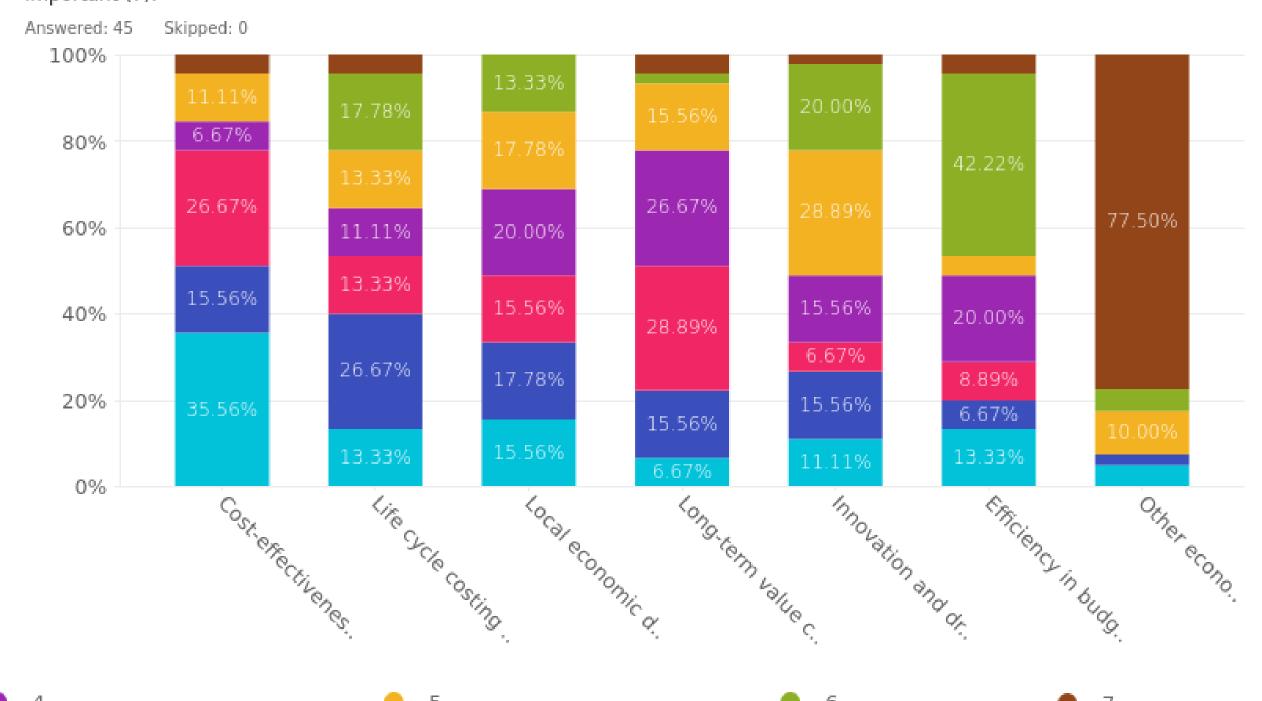


Humanitarian practitioner from non OECD countries:

- 1. Cost effectiveness and VfM
- 2. Local economic development
- 3. Long-term value creation and quality

Lifecycle costing
Innovation and market development
Efficiency in budget spending

Rank the following Economic sustainability considerations according to their relative importance: most important (1) to least important (7).



Environmental dimension:

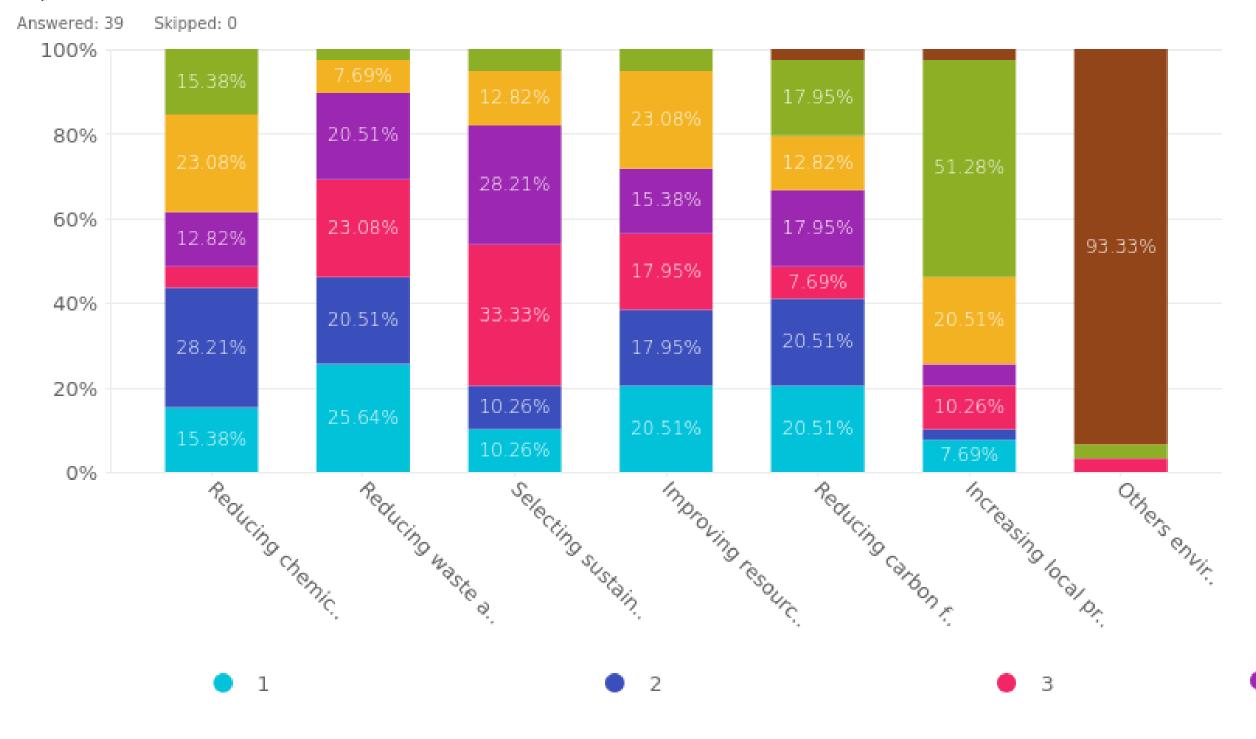


Humanitarian practitioner from OECD countries:

- 1- Reducing waste & improving WM
- 2- Improving resource management
- 3-Selecting sustainable materials

Reducing hazardous materials
Reducing carbon footprint
Increasing local production

Rank the following Environmental sustainability considerations according to their relative importance: most important (1) to least important (7).

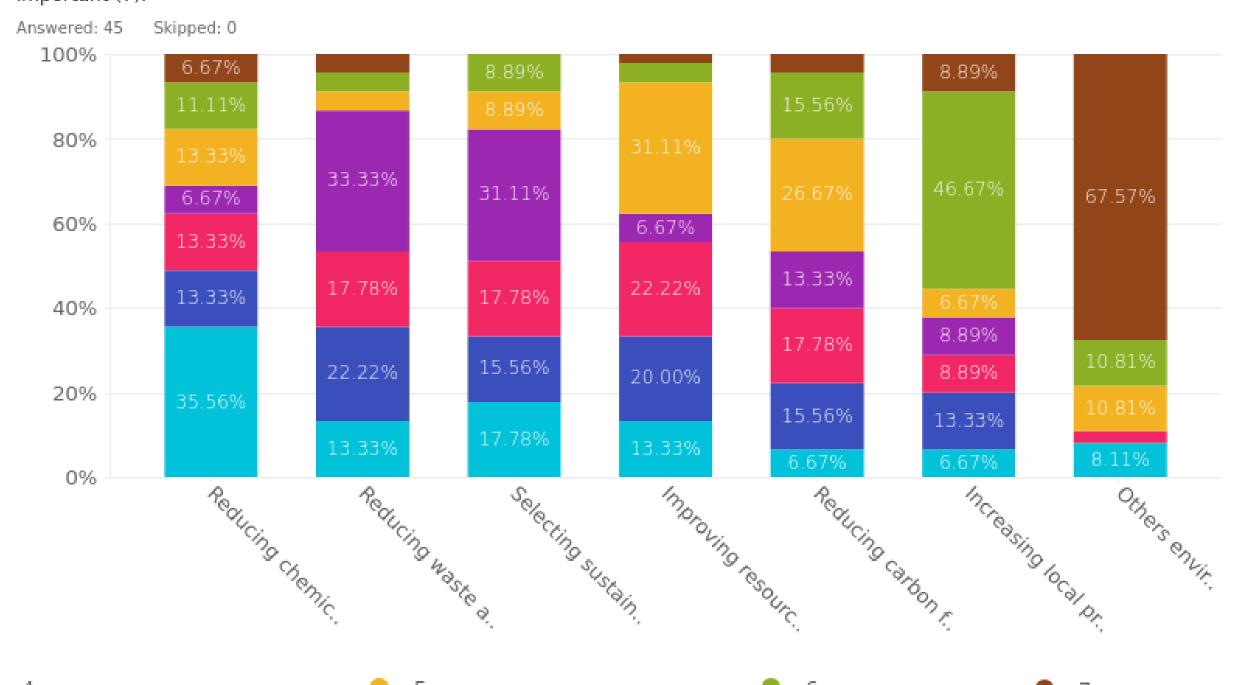


Humanitarian practitioners from non-OECD countries:

- 1- Reducing hazardous materials
- 2- Reducing waste & improving WM
- 3- Selecting sustainable materials

Improving resource management Reducing carbon footprint Increasing local production

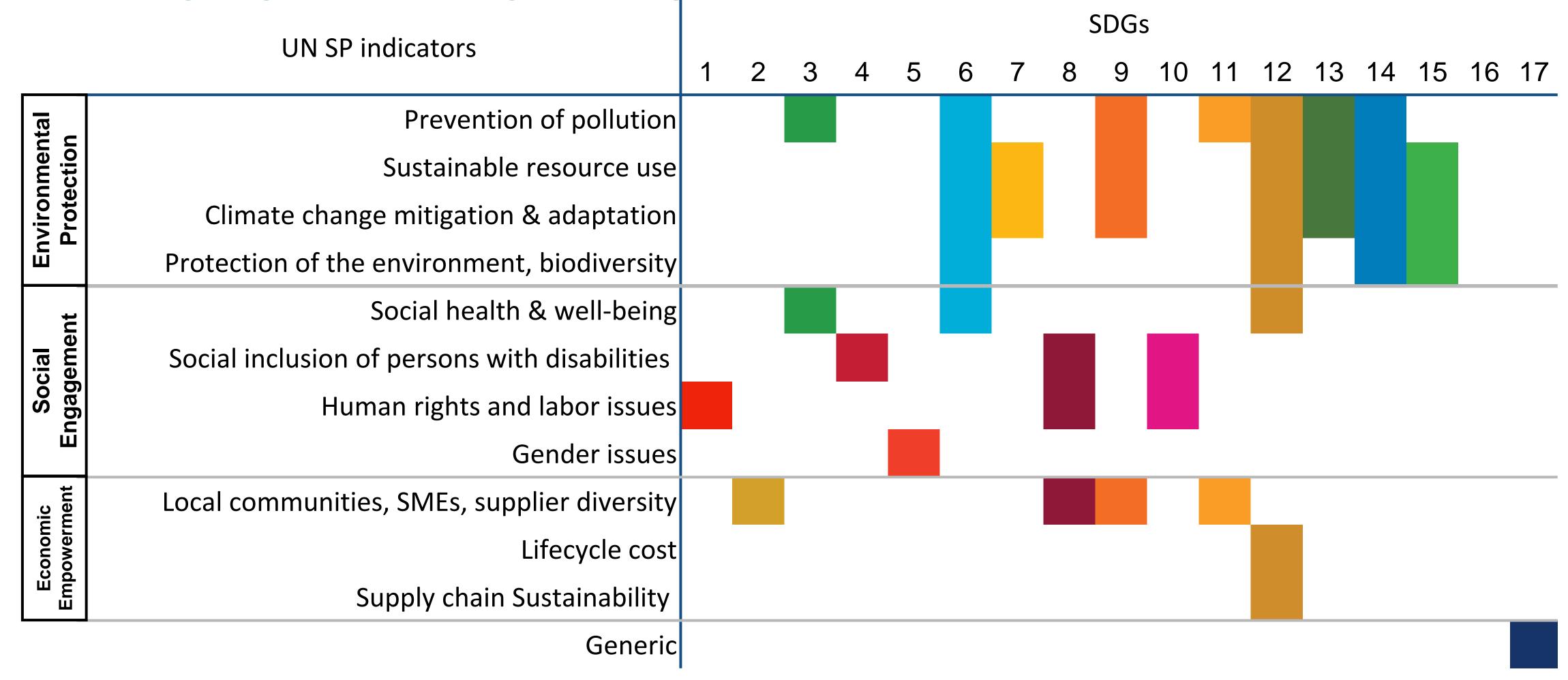
Rank the following Environmental sustainability considerations according to their relative importance: most important (1) to least important (7).





UN SP Indicators

https://www.ungm.org/Shared/KnowledgeCenter/Pages/SustProcIndicators







































UN SP Indicators

SP indicators	Level 2 indicators	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16 17
	Corporate env. policy or EMS																
	Hazardous material management (production)																
Prevention of pollution	Air emission management (production)																
Ponacion	Solid waste management (production)																
	Wastewater management (production)																
	Eco-labels or equivalent for sustainable resource use																
Sustainable	Use of recycled, biodegradable, reusable, renewable materialscircular materials																
resource use	Take-back programme or end-of-life management system																
	Reduced or bulk packaging of the product																
Climate	GHG emission reporting and disclosure																
change	Use of low-carbon energy-efficient technology																
mitigation &	Energy-efficient and clean logistic transportation																
adaptation	Carbon offsetting of emissions during production																
Biodiversity	Legal and sustainable agriculture, fishing, forestry																
Social health	Avoidance of potentially hazardous chemicals (ex. VOCs)																
& well-being	Labelling of included and used hazardous chemicals																
Inclusion	Adapted to ensure accessibility for persons with disabilities																
IIICIUSIUII	Vendors need to be disability-inclusive																

UN SP Indicators



SP indicators	Level 2 indicators	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16 17
	Human right compliance																
	Industry's collective labour agreements																
Human rights and labor issues	Health and safety management system																
	Socially acceptable working conditions																
	Ethically and fairly traded goods																
	Open only to women-owned business vendors																
	Minimum portion of contracted labour opportunities for women																
Gender issues	Award points to gender-responsive vendors during evaluation																
	Commitment to integrate gender mainstreaming																
Local	Minimum portion of contracted labour opportunities for local communities																
communities,	Source the main elements for the product or service locally																
SMEs, supplier	Open only to vendors qualifying as a local micro or SMEs																
diversity	Open only to vendors employing workers from disadvantaged groups																
Lifecycle cost	Use of lifecycle costing or total cost of ownership methodology																
Supply chain	Extend all sustainability requirements of the contract to its tier 2 suppliers																
Sustainability	Subcontractors subject to the UN approval based on sustainability considerations																
Gonoria	Vendors to disclose their UN Global Compact participation																
Generic	Vendors to register to UN Global Compact																

Key product aspects under ESPR

Article 5 – Ecodesign requirements





Key product aspects under ESPR

Article 5 – Ecodesign requirements





Key product aspects under ESPR

Article 5 – Ecodesign requirements





Key product aspects under ES

Article 5 – Ecodesign requirements





content



content

Water use &

efficiency



Resource use & efficiency Upgradability



Possibility of Recycling



materials





Energy use & efficiency



Reusability



Reliability

Possibility of Recovery of Materials



Recyclable **content**



Presence of Substances of Concern



Durability



Reparability



Maintenance & Refurbishment



Possibility of Remanufacturing



Key product aspects under ES

Article 5 – Ecodesign requirements







Recycled content



Water use & efficiency



Resource use & efficiency



Upgradability



Possibility of Recycling





Generation of Waste materials



Environmental Footprint



Energy use & efficiency



Reusability



Reliability





Recyclable **content**



Presence of Substances of Concern



Durability



Reparability



Maintenance & Refurbishment



Possibility of Remanufacturing



Key product aspects under ES

Article 5 – Ecodesign requirements







Recycled



Water use & efficiency



Resource use & efficiency



Upgradability



Possibility of Recycling





Generation of Waste materials



Environmental Footprint



Energy use & efficiency



Reusability



Reliability

Possibility of Recovery of Materials



Recyclable **content**



Extended list Presence of Substances of Concern



Durability



Reparability



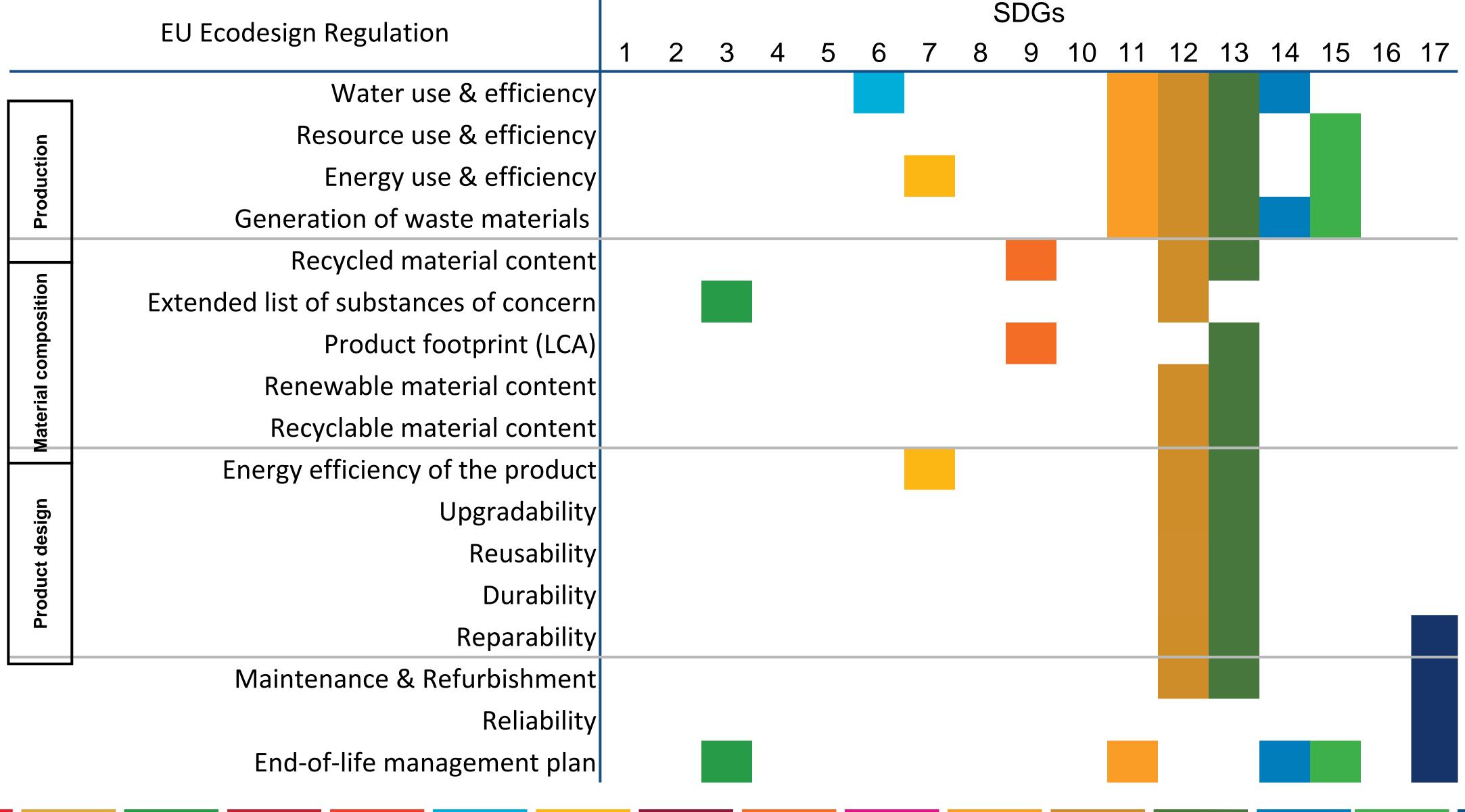
Maintenance & Refurbishment



Possibility of Remanufacturing













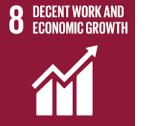






























Workshop 1: Priority framework dimensions selection





Solvez

Procurement Policy/Strategy

Local sourcing (local and regional)

Promotion of SMEs

Lifecycle cost analysis and product footprint

End-of-life management plan

Sustainable supply chain requirements adherence (of suppliers)

Social Dimensions:

Human Rights and Labor Rights Compliance Occupational health and safety compliance Social Inclusion & Gender Equity (EDI)

Environmental Dimensions:

Climate change mitigation and adaption criteria
Pollution control/prevention measures in production
Biodiversity protection measures
Resource use and efficiency
Generation of Waste and Waste management
Hazardous substance management

Economic/Legal dimensions (not discussed)
Financial, Reliability and Legal Criteria (and more)

Material composition:

Use (presence) of substances of concern
Use of recycled materials
Use of renewable materials
Use of (locally) recyclable materials

Product design:

Durability of product

Design for repair

Design for other circularity criteria

Other

Take-back or end-of-life waste management criteria

Energy efficiency

Culturally appropriate products

Product Specification





Essential, Recommendable or not important?

Time for feedback



Workshop 2 (breakout in stakeholder groups) What is done, where are opportunities









Activity 2 – breakout rooms

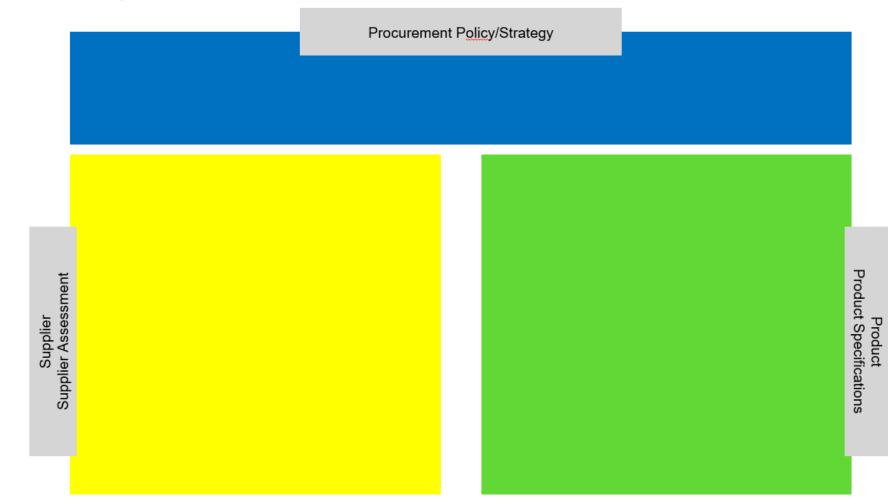
Breakout into three groups, per stakeholder group

- 1. Procurement and NGO/UN stakeholder group
- 2. Sustainability expertise group (UN & NGOs, academia and technical experts)
- 3. Donors and funders

MIRO: https://miro.com/app/board/uXjVK50yh8g=/

Assignment 1: group exercise, what has been done, add sticky notes to the grid (5 minutes)

Assignment 2: Opportunities and Challenges, add sticky notes to the grid and discuss (20 minutes)









Plenary discussions

Main findings

- 1. Procurement group
- 2. Sustainability group
- 3. Donors and Funders group

Questions and discussion





http://wormproject.eu

<u>wormproject</u>

wormproject.eu

worm eu



